

Super-Resolution SAR Tomography for Accurate Forest Height Mapping Using Spaceborne PolSAR Data

Shashi Kumar; Sushil K Joshi

Indian Institute of Remote Sensing (IIRS), ISRO. Dehradun-248001, India

shashi@iirs.gov.in

shashikumar@iirsddn.ac.in

Abstract:

This study employs advanced super-resolution techniques in SAR tomography to estimate backscatter power across forest height levels, enabling 3D forest structure reconstruction. It evaluates spectral estimation methods, including Fourier transform, beamforming, Capon, a new Capon-like algorithm, and fully polarimetric Capon, using Radarsat-2 and TerraSAR-X data from a teak forest in Haldwani, India. The Capon-like algorithm effectively captured height-specific backscatter variations, with X-band data outperforming C-band. Polarimetric extensions improved scatterer detection at lower heights. The fully polarimetric Capon algorithm achieved the best results, with an RMSE of 2.58 m and 88.64% accuracy, proving the most suitable for this application.

Methodology:

Figure 1 shows the processing chain of the tomographic processing of SAR data.

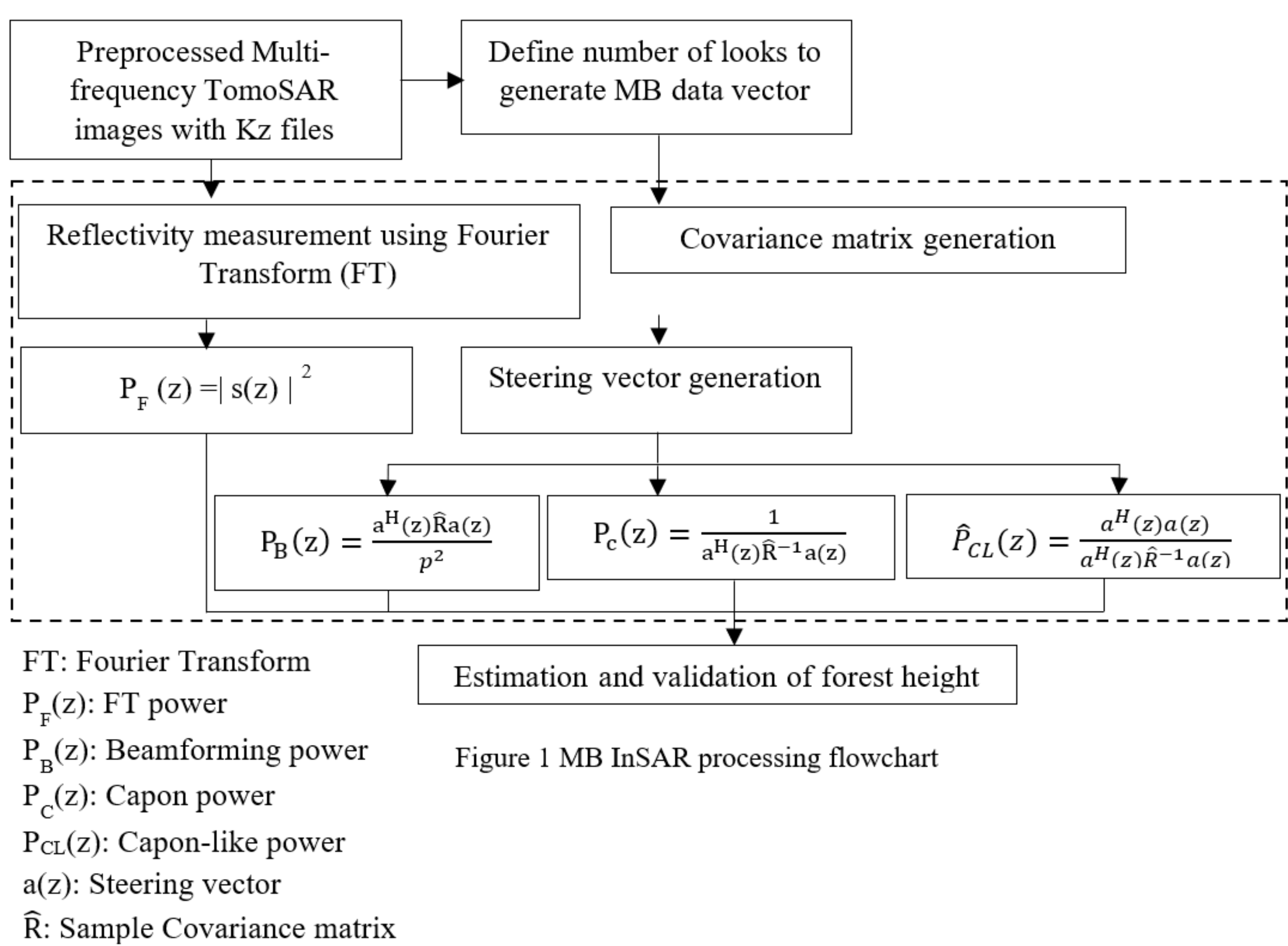


Figure 1 MB InSAR processing flowchart

Results:

Figure 3 illustrates the tomographic reconstruction using FP-Capon for the X-band, revealing notable insights. Two specific locations are highlighted in the figure. A comparison with Figure 2 shows that the tomogram in Figure 2 exhibits broad peaks and substantial leakage. FP-Capon effectively addresses these issues by enhancing the profile by significantly reducing sidelobes and narrowing the peaks. Additionally, FP-Capon successfully detects scatterers overlooked in SP-Capon, marking a key improvement.

Figure 5 illustrates the tomographic reconstruction using FP-Capon for the C-band RADARSAT-2 data. Firstly, FP-Capon demonstrates a significant reduction in spatial leakage compared to single-channel Capon, as highlighted in Figure 5 (Circle 2). Secondly, by integrating multiple polarization channels, FP-Capon successfully detects scatterers below 10 meters, allowing the wave to penetrate the forest canopy and reach the ground. This capability underscores the effectiveness of polarimetric Capon as a superior method for detecting scatterers

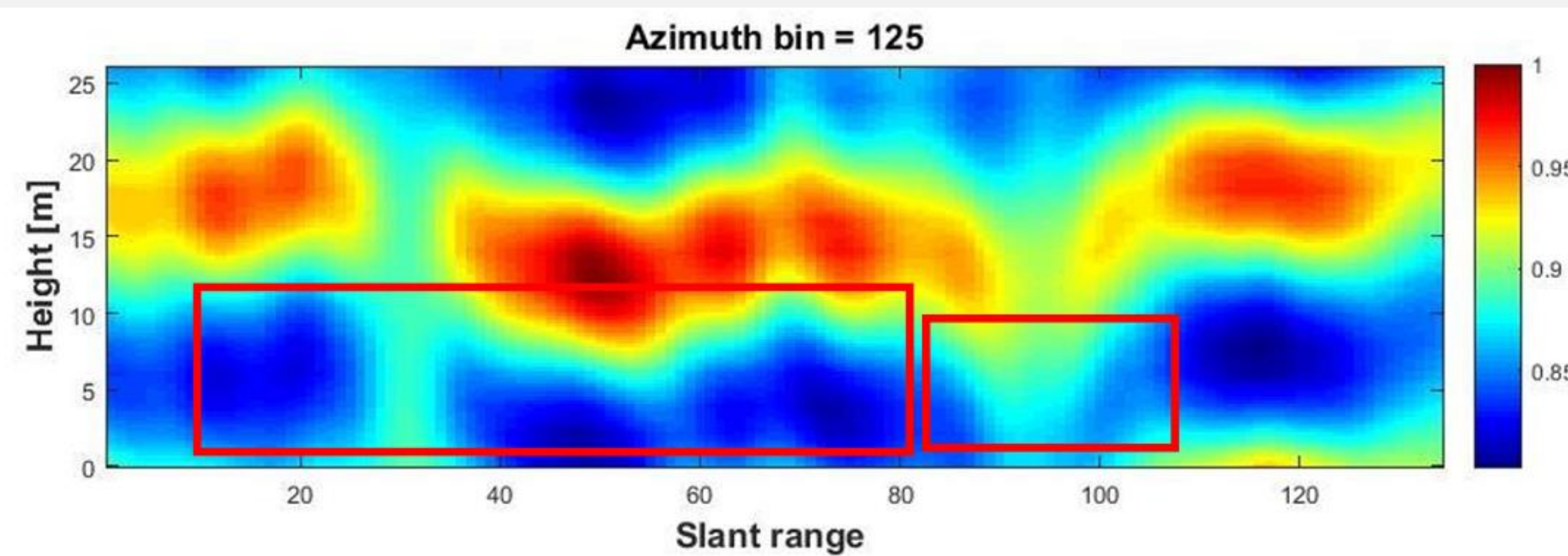


Figure 2. Tomographic profile for HH channel at X-band using Capon

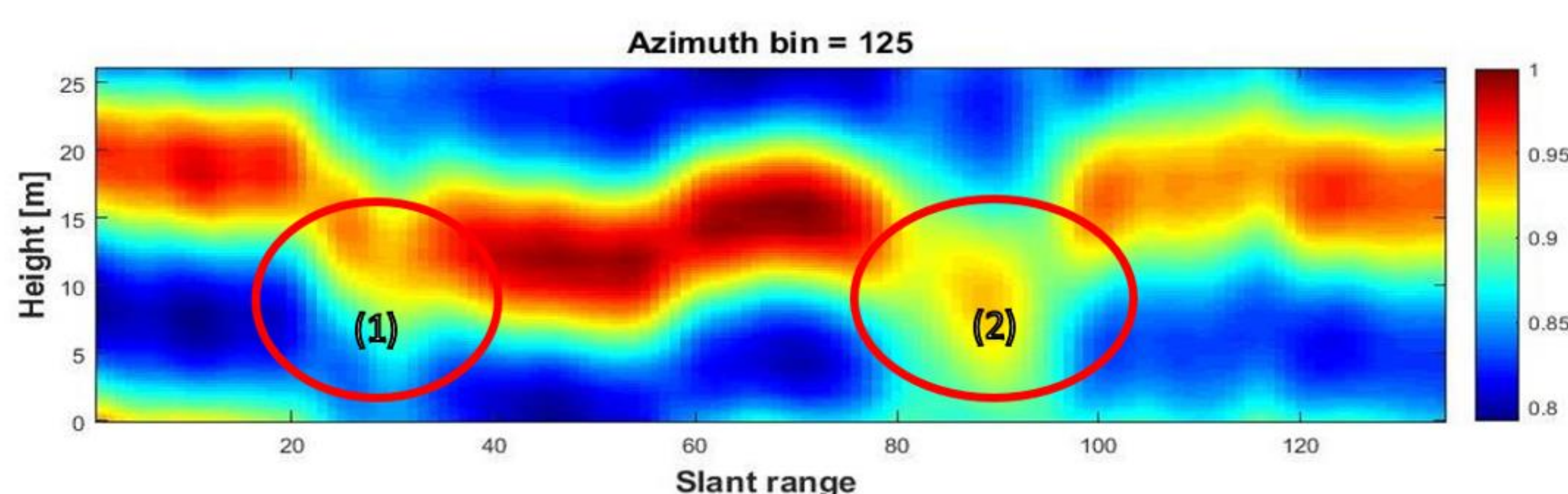


Figure 3. Tomographic profile of fully polarimetric Capon for X-band

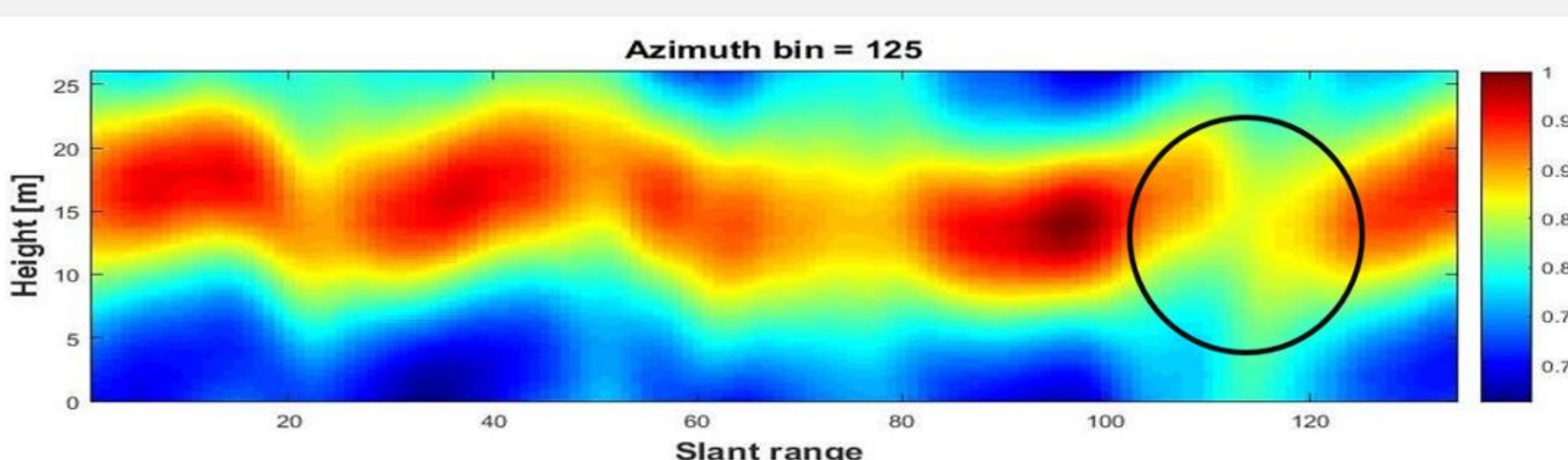


Figure 4. Tomographic profile for HH channel at C-band using Capon

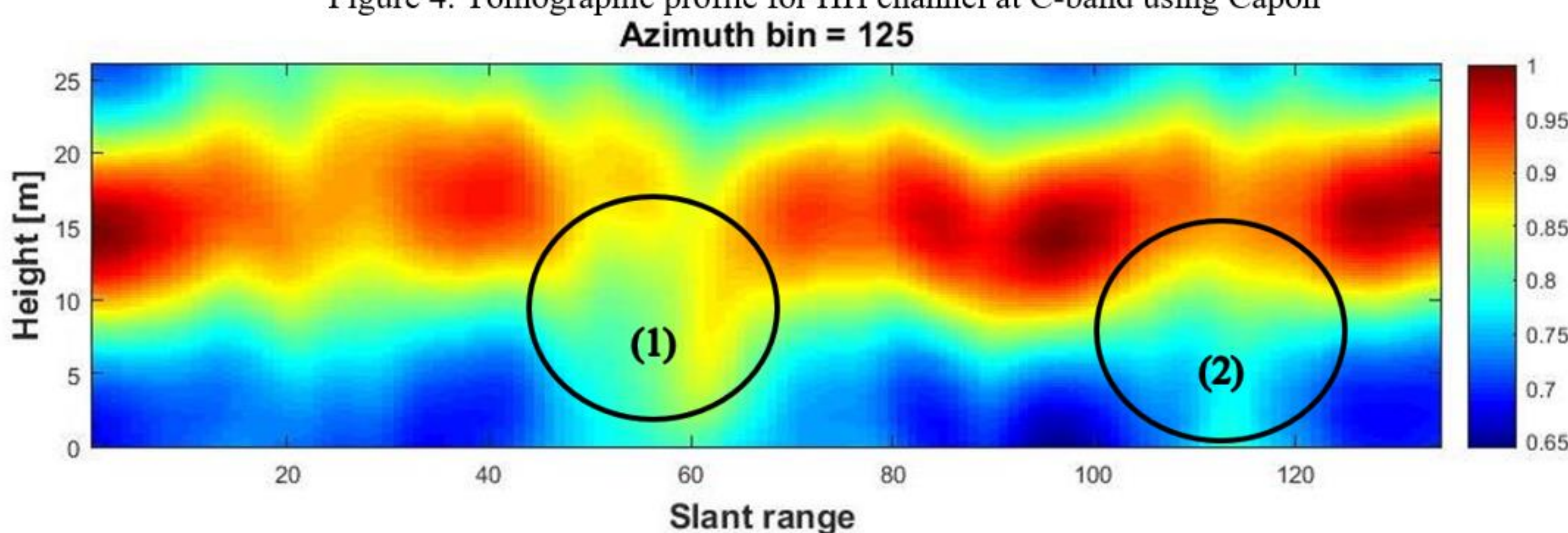


Figure 5. Tomographic profile of fully polarimetric Capon for C-band

Conclusions:

Fourier transform, a foundational SAR tomography technique, is limited by high sidelobes and height ambiguities due to baseline sparseness. Super-resolution methods like beamforming, Capon, and the Capon-like algorithm address these issues, enhancing vertical profiles and suppressing sidelobes but with a trade-off in radiometric accuracy. Among the tested methods, Capon and fully polarimetric Capon for C-band, and Fourier transform for X-band, showed superior performance. FP-Capon emerged as the most effective, achieving an RMSE of 2.58 m and an accuracy of 88.64%, with height distributions following a normal curve and flat sample plot distributions.

References:

- Asopa, U., & Kumar, S. (2020). UAVSAR Tomography for Vertical Profile Generation of Tropical Forest of Mondah National Park, Gabon. *Earth and Space Science*, 7(10), e2020EA001230; 1-14. <https://doi.org/10.1029/2020EA001230>
- Joshi, S. K., & Kumar, S. (2017). Spaceborne PolInSAR tomography for vertical profile retrieval of forest vegetation. *Journal of Applied Remote Sensing*, 11(1), 016001. <https://doi.org/10.1117/1.jrs.11.016001>
- Kumar, S., Garg, R. D., Kushwaha, S. P. S., & Pandey, U. (2017). Spaceborne SAR Tomography for Vertical Profile Retrieval of Forest Vegetation. *Proceedings of the National Academy of Sciences India Section A - Physical Sciences*, 87(4), 807-816. <https://doi.org/10.1007/s40010-017-0450-x>
- Kumar, S., Joshi, S. K., & Govil, H. (2017). Spaceborne PolSAR tomography for forest height retrieval. *IEEE Journal of Selected Topics in Applied Earth Observations and Remote Sensing*, 10(12), 5175-5185. <https://doi.org/10.1109/JSTARS.2017.2741723>

